

To: UOB Kay Hian (Hong Kong) Limited
UOB Kay Hian Futures (Hong Kong) Limited (collectively referred as "UOBKH")
致: 大華繼顯(香港)有限公司
大華繼顯期貨(香港)有限公司(統稱「大華繼顯」)
Email: eform@uobkayhian.com.hk

Declaration Form for Chinese Mainland Investors Opening Investment Accounts 中國內地投資者開立投資帳戶聲明書

I/We hereby instruct UOBKH to open an investment account for me/us. I / We:
本人/我們現指示大華繼顯為本人/我們開立投資帳戶。本人/我們:

1. Confirm that all funds which support the investment activities and related settlements are from lawful sources outside of Chinese Mainland.
確認所有用以支持投資活動及相關結算的資金均來自內地以外的合法來源。
2. Confirm that all identity documents and related supporting documents and information (including copies and electronic images) provided to UOBKH for the opening/maintenance of this investment account are true, accurate and genuine and have not forged, altered or tampered with; and that I/We do not have an account that was previously closed or suspended by any licensed corporations or banks due to the use of questionable or forged documents.
確認就開立/維持本投資帳戶而向大華繼顯提供之所有身分證明及相關證明文件及資料(包括任何副本或電子影像)均屬真實、準確、完整及真確無訛,並未偽造、塗改或被竄改;本人/我們並沒有因曾使用可疑或偽造文件而令帳戶遭到任何持牌法團或銀行關閉或暫停。
3. Undertake that I/we will notify UOBKH within seven (7) business days in the event of any changes in the information in this written declaration.
承諾如本書面聲明中的資料出現任何更改,本人/我們會在七個營業日內書面通知大華繼顯。
4. Confirm and understand that UOBKH may disclose my personal data and other relevant information if requested by law enforcement agencies or regulatory authorities.
確認明白並同意,如執法機構或監管機構的要求,大華繼顯披露本人/我們的個人及其他相關資料。
5. Agree and acknowledge that I/We will use bank account(s) in my/our own name(s) with banks licensed in Hong Kong or supervised by banking regulators in eligible jurisdiction for settlement purposes, and all future deposits and withdrawals for the investment account will be conducted exclusively through these bank account(s).
同意並確認僅會使用以本人/我們名義在香港持牌銀行或在合資格司法管轄區受銀行業監管機構監督的銀行持有的銀行帳戶作結算之用,日後就有關投資帳戶而作出的所有提存將會只透過該等銀行帳戶進行。
6. Confirm, agree and acknowledge that UOBKH may close my/our investment account if the funding sources are subsequently found to be unlawful or in violation of any capital control regulations in the Chinese Mainland.
確認、同意及知悉,如本人之資金來源其後被發現屬不合法或違反中國內地任何資本管制法規,大華繼顯可關閉本人之投資帳戶。

Signature(s) of Client 客戶簽署

(All account holders of joint account must sign jointly) (所有聯名客戶必須簽署)

Name of Client(s) 客戶姓名 : _____
Date 日期(_____)

Account Number 帳戶號碼 (if any 如適用): _____